

Robert Greene Steme Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Iracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherston Michael V. Messinger Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jad'n-en Molly A. McCall Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Kimberly N. Reddick Theodore A. Wood Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Hublier Rae Lynn Prengaman Jane Shershenovich* Lawrence J. Carroll* George S. Bardmesser Daniel A. Kleman Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller* LuAnne M. Vuricek* John J. Figueroa Ann E. Summerfield Tiera S. Coston* Aric W. Ledford*

Registered Patent Agents •
Karen R. Markowicz
Nancy J. Leith
Helene C. Carlson
Gaby L. Longsworth
Matthew J. Dowd
Aaron L. Schwartz
Mary B. Tung
Katrina Y. Pei Quach
Bryan L. Skelton
Robert A. Schwartzman
Timothy A. Doyle
Jennifer R. Mahalingappa
Teresa A. Colella
Jeffrey S. Lundgren

Victoria S. Rutherford Eric D. Hayes

Of Counsel Kenneth C. Bass III Evan R. Smith

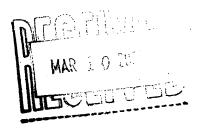
Admitted only in Maryland
Admitted only in Virginia
Practice Limited to
Federal Agencies



March 4, 2004

WRITER'S DIRECT NUMBER: (202) 772-8822 INTERNET ADDRESS: EYEE@SKGF.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450



Art Unit 2171

RECEIVED

MAR 0 9 2004

Technology Center 2100

Re:

U.S. Utility Patent Application

Application No. 10/053,904; Filed: January 24, 2002

For: Method For Processing Multiple Security
Policies Applied To A Data Packet Structure

Inventor:

Jeffrey D. Carr

Our Ref:

1875.1310002

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal (Form PTO/SB/17);
- 2. Preliminary Amendment Under 37 C.F.R. § 1.115;
- Credit Card Payment Form (PTO-2038); and
- 4. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents March 4, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Erm. zn

Edward W. Yee Attorney for Applicant Registration No. 47,294

EWY/sjc 237161_1.DOC

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 36.00

Complete if Known						
Application Number	10/053,904					
Filing Date	January 24, 2002	RECEIVE	せ			
First Named Inventor	Jeffrey D. Carr]			
Examiner Name	(to be assigned)	MAR 0 9 200	1			
Art Unit	2171	Technology Cente	12100			
Attorney Docket No.	1875.1310002	Technology Gente	72100			

1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1402 330 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2401 165 Notice of Appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1453 1,330 2403 165 Petition to revive - unintentional 1454 1,510 Petition to revive - unintentional 1454 1,510 2452 250 240 Design issue fee 1503 1,330 2403 320 Plant issue fee 1503 340 2403 320 Plant issue fee 1604 130 1460 130 2403 320 Plant issue fee 1604 130 1460 130 2403 2403 Plant issue fee 1604 130 1460 130 2403 2403 Plant issue fee 1604 130 1460 130 2403 2403 Plant issue fee 1604 130 1460 130 240	METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)						
Charge any deficiencies or credit any overpayments in Deposit Account		3. ADDITIONAL FEES							
Deposit Account	*Charge any deficiencies or credit any overpayments in								
Number Deposit Account Sterne Kessler, Goldstein & Fox P.L.L.C. 1052 50 2052 25 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 2052 25 25	Deposit Account: the fees to Deposit Acct. No. 19-0036. Deposit					Fee Description	Can Boid		
Account Name The Director is authorized to: (check ait that apphy) Charge fee(s) indicated below	Number	1051	130	2051	65	Surcharge - late filing fee or oath	Fee Paid		
1053 130 1067 130 1068 130 106	Account Sterne Kessler, Goldstein & Fox P.L.L.C.		50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Charge fee(s) indicated below			130	1053	130	Non-English specification			
Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE arge Entity Small Entity Fee Fee Fee Fee Fee Description 1. Code (\$) 1. Code	I))		2,520	1812	2,520	For filing a request for ex parte reexamination			
1251 110 1251 110 1252 1252 1252 1252 1252 1253 12			920*	1804	920*				
The content of the			1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE arge Entity Small Entity Fee F	FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	<u> </u>		
1253 1253 1255		1252	420	2252	210	Extension for reply within second month			
Code (\$) Code (\$)	· - · · · · · · · · · · · · · · · · · ·	1253	950	2253	475	Extension for reply within third month			
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee 1401 330 2402 165 Filing a brief in support of an appeal 1402 330 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1405 1,510 1451 1,510 Petition to institute a public use proceeding 1405 1,510 1451 1,510 Petition to revive - unavoidable 1405 1,330 2402 1,43 1403 2,40 2405 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a brief in support of an appeal 1403 2,40 2402 1,45 Filing a submission after final rejection 1,45 1,510 2452 1,510 2452 1,510 2453 1,510 2		1254	1,480	2254	740	Extension for reply within fourth month			
1002 340 2002 170 Design filling fee 1401 330 2401 165 Notice of Appeal 1402 330 2402 165 Filling a brief in support of an appeal 1402 330 2402 165 Filling a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2401 165 Notice of Appeal 1402 330 2402 165 Filling a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1452 110 2452 55 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to revive - unintentional 1454 1,330 2453 665 Petition to re		1255	2,010	2255	1,005	Extension for reply within fifth month			
1403 290 2403 145 Request for oral hearing SUBTOTAL (1) (\$) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from Independent Claims 13 - 3 ***= 0	1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal			
SUBTOTAL (1) (\$) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Paid Independent 3 - 3 *** = 0	1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
SUBTOTAL (1) (\$) 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1451 1,330 2501 665 Utility issue fee (or reissue) 1501 1,330 2501 665 Utility issue fee 1502 480 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee 1604 130 1460 130 1460 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1808 180 180 180 Submission of Information Disclosure Stmt 1809 770 2809 385 Filing a submission after final rejection 1708 2204 43 Reissue independent claims in excess of 20 and over original patent 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2801 385 Request for Continued Examination of a design application 1809 900 1802 900 Request for expedited examination of a design application 1809 885 Filing Fee Paid	1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing			
SUBTOTAL (1) (\$) 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee 1503 640 130 Petitions to the Commissioner 1600 130 1460 130 Petitions to the Commissioner 1600 130 1460 130 Petitions to the Commissioner 1600 130 1400 130 1400 130 Petitions to the Commissioner 1600 130 1400 130 1400 130 Petitions to the Commissioner 1600 130 1400 1	1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Paid Total Claims 22 -20 **= 2 X \$\\$18 = \\$36.00 1502 480 2502 240 Design issue fee Total Claims 3 -3 **= 0 X = \\$1502 480 2503 320 Plant issue fee Unitiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee	SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable			
Total Claims 22 - 20 **= 2 X \$18 = \$36.00 Independent 3 - 3 **= 0 X = = = = Independent 3 - 3 **= 0 X = = = Independent 3 - 3 **= 0 X = Inde		1453	1,330	2453	665	Petition to revive - unintentional			
Total Claims 1503 640 2503 320 Plant issue fee	Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)			
Independent 3 - 3 ***= 0 X	Tatal Olaina	1502	480	2502	240	Design issue fee			
Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Description 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 1807 50 1806 180 Submission of Information Disclosure Stmt 1806 180 Submission of Information Disclosure Stmt 1806 180 1806 180 Submission of Information Disclosure Stmt 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 180	Independent 20 - 20 - 230.00	1503	640	2503	320	Plant issue fee			
Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 1807 1806 180 1806 180 Submission of Information Disclosure Stmt 1802 1806 180 Submission of Information Disclosure Stmt 1802 1806 180 Submission of Information Disclosure Stmt 1802 1809 180		1460	130	1460	130	Petitions to the Commissioner			
Fee Fee Code (\$) 1202 18 1202 9 Claims in excess of 20 1201 86 1202 201 43 Independent claims in excess of 3 1203 290 1204 86 1205 9 Reissue independent claims over original patent 1205 18 1206 180 Submission of Information Disclosure Stmt 8021 40 Recording each patent assignment per property (times number of properties) 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 Request for Continued Examination (RCE) 1809 770 2810 385 Request for Continued Examination (RCE) 1809 770 2810 385 Request for Continued Examination (RCE) 1809 770 2810 385 Request for Continued Examination (RCE) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 Request for Continued Examination (RCE) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Code (\$) Code (\$) Recording each patent assignment per property (times number of properties) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 Reissue independent claims in excess of 3 1204 86 2204 9 Recording each patent assignment per property (times number of properties) 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 1802 900 1802 900 Request for expedited examination of a design application 1803 900 Request for expedited examination of a design application 1803 900 Request for expedited examination of a design application		1806	180	1806	180	Submission of Information Disclosure Stmt			
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent 1205 18 2205 9 (\$) 36.00 1802 900 1802 900 Request for expedited examination of a design application 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination 1802 900 900 Request for expedited examination 1802 900 900 Request for expedited examination 1802 900 900 900 900 900 900 900 900 900 9	Code (\$) Code (\$)	8021	40	8021	40				
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application SUBTOTAL (2) (\$) 36.00 *Reduced by Basic Filing Fee Paid		1809	770	2809	385	Filing a submission after final rejection			
1204 86 2204 43 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent 1802 900 1802 900 Request for expedited examination of a design application 1801 770 2801 385 Request for expedited examination of a design application 1802 900 1802 900 Request for expedited examination of a design application 1801 770 2801 385 Request for expedited examination of a design application 1802 900 1802 900 Request for expedited examination 1801 770 2801 385 Request for expedited examination of a design application 1801 770 2801 385 Request for expedited examination 1801 770 2	1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	` '"			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 36.00 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) *Reduced by Basic Filing Fee Paid					•••				
and over original patent Other fee (specify) **Reduced by Basic Filing Fee Paid OUNT OF A design application Other fee (specify)					385	Request for Continued Examination (RCE)	[
SUBTOTAL (2) (\$) 36.00 Other fee (specify)		1802	900	1802	900				
Reduced by Hasic Filing Fee Paid Outstand in	· · · · · · · · · · · · · · · · · · ·			ecify)					
**or number previously paid, if greater; For Reissues, see above SUBTOTAL (3) (\$)									
SUBMITTED BY (Complete (if applicable))									

Registration No. Name (Print/Type) Telephone Edward W. Yee (202) 371-2600 47,294 (Attomey/Agent) Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any compensation the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.